

## *Record of an Individual's Certificates in ABC Corporation*

All Certificates relating to Dana Smith as of 8/29/2011 involve 70380 shares of stock.

Cert Num	Last Name or Entity Name	First Name	Date Issued	Class of Series	Number of Shares	How Acquired	From Whom	Per Share Basis of Certificate	Total Certificate Adjusted Basis	Certificate Status	Date Trans, Surr, or Cancelled
1	Smith	Dana	2/9/1975	Common Class A	12,000.000	Original Issue		10.51	126,120.00	Transferred	12/22/1976
7	Smith	Dana	3/1/1976	Common Class A	1,128.000	Original Issue		12.86	14,506.08	Transferred	12/27/1977
6	Smith	Dana	12/22/1976	Common Class A	10,545.000	Balance after Gifting		10.51	110,827.95	Transferred	12/27/1977
13	Smith	Dana	12/27/1977	Common Class A	9,633.000	Balance after Gifting		10.51	101,242.83	Transferred	1/6/1978
18	Smith	Dana	1/6/1978	Common Class A	9,283.000	Balance after Gifting		10.51	97,564.33	Transferred	12/30/1980
31	Smith	Dana	12/30/1980	Common Class A	7,333.000	Balance after Gifting		10.51	77,069.83	Transferred	1/10/1981
44	Smith	Dana	1/10/1981	Common Class A	6,733.000	Balance after Gifting				Transferred	10/31/1984
57	Smith	Dana	3/10/1982	Common Class A	3,435.000	Balance after Gifting				Transferred	10/31/1984
73	Smith	Dana	10/31/1984	Common Class A	3,135.000	Balance after Gifting				Transferred	12/24/1990
96	Smith	Dana	12/24/1990	Common Class A	2,745.000	Balance after Gifting				Transferred	1/2/1991
104	Smith	Dana	1/2/1991	Common Class A	2,355.000	Balance after Gifting				Transferred	2/5/1992
130	Smith	Dana	2/5/1992	Common Class A	2,055.000	Balance after Gifting				Transferred	1/1/1996